

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



#5/113
3/17/2

Applicants : A. Paul Alivisatos et al.
Appl. No. : 09/721,126
Filed : November 21, 2000
Title : A Method of Making Surfactant Capped Nanocrystals
Grp./Art Unit : 1754
Examiner : Bos, S.
Docket No. : IB-1576A

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Honorable Commissioner for Patents
Washington, D.C. 20231

REMARKS

Sir:

This is a duplicate submission of papers sent on April 16, 2002, said April 16, 2002 submission was a second submission of papers originally filed February 23, 2001. This submission is required because the April 16, 2002 submission inadvertently had the U.S. Serial Number of the parent application on the transmittal form.

The enclosed papers were originally filed on February 23, 2001. Enclosed please find a copy of the return receipt postcard and executed certificates of mailing showing a mailing date of February 23, 2001. Apparently the papers were never received by the Patent and Trademark Office. Accordingly, enclosed is a copy of the original set of papers.

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Enclosed is :



1. A new transmittal form and executed certificate of mailing.
2. Copy of the original return receipt postcard.
3. Copy of the transmittal form
4. Copy of a Statement under 37 C.F.R. 3.73(b) establishing right of assignee to take action.
5. Power of Attorney by Assignee of Entire Interest
6. Completion of Filing Requirements
7. Recordation Form Cover Sheet
8. Assignment
9. Statement by Practitioner that Application Filed in PTO is the one inventor executed by signing declaration
10. Declaration
11. Transmittal Form for Information Disclosure Statement
12. Information Disclosure Statement by Application, PTO Form 1449.

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INFORMATION DISCLOSURE STATEMENT

Copies of the references were originally submitted with the IDS as filed on February 23, 2001. With this submission copies are not being submitted. Copies may be found in the parent application, 09/702,219, now allowed, but not issued. If the Examiner is not able to obtain copies of the references he is invited to call Applicant's undersigned representative below

FEES

No fee is believed due, because the papers were originally filed within the prescribed time limit. However, if applicant is incorrect in this assumption, the PTO is authorized to charge any required fee to deposit account 120690, in the name of Lawrence Berkeley National Laboratory.

Respectfully Submitted,



Charles R. Nold

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